

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231



# NOTICE OF ALLOWANCE AND FEE(S) DUE

20686

7590

04/17/2003

DORSEY & WHITNEY, LLP INTELLECTUAL PROPERTY DEPARTMENT 370 SEVENTEENTH STREET SUITE 4700 DENVER, CO 80202-5647 EXAMINER

LUONG, VINH

ART UNIT CLASS-SUBCLASS

3682 074-502400

DATE MAILED: 04/17/2003

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/441,628	11/16/1999	GREGORY H. PETRAK	39661.830001	4716

TITLE OF INVENTION: PARK BRAKE CABLE SYSTEM INCLUDING CONNECTOR CLIP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	07/17/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status.
   See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



# PART B - FEE(S) TRANSMITTAL



Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231 (703)746-4000

<u>Fax</u> INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

20686

04/17/2003

DORSEY & WHITNEY, LLP INTELLECTUAL PROPERTY DEPARTMENT **370 SEVENTEENTH STREET SUITE 4700** DENVER, CO 80202-5647

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

addistricted to take OSt 1 O, on the date molecules below.	
	(Depositor's name)
	(Signature)
	(Date)

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nonprovisional	NO	\$1300	\$0	\$1300	07/17/2003
EXAMINER ART UNIT LUONG, VINH 3682			CLASS-SUBCLASS 074-502400		
CFR 1.363).	ce address or indication of ence address (or Change of 22) attached.	Согтеѕропдепсе	2. For printing on the patent from the names of up to 3 registered p or agents OR, alternatively, (2) single firm (having as a memb	the name of a er a registered	
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			attorney or agent) and the nam registered patent attorneys or age is listed, no name will be printed.		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or o	ategories (will not be printed on the patent)	individual 🔾	□ corporation or other private group entity □ govern	nment			
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):						
☐ Issue Fee	☐ A check in the amount	☐ A check in the amount of the fee(s) is enclosed.					
☐ Publication Fee	Payment by credit card	☐ Payment by credit card. Form PTO-2038 is attached.					
☐ Advance Order - # of Copies	☐ The Commissioner is b Deposit Account Number	The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).					
Commissioner for Patents is requested to apply the	Issue Fee and Publication Fee (if any) or to re-	apply any previo	usly paid issue fee to the application identified above.				
(Authorized Signature)	(Date)						
NOTE; The Issue Fee and Publication Fee (if other than the applicant; a registered attorney	required) will not be accepted from anyone or agent; or the assignee or other party in						

interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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DORSEY & WHITNEY, LLP				LUONG, VINH		
INTELLECTUAL PROPERTY DEPARTMENT 370 SEVENTEENTH STREET			Γ	ART UNIT	PAPER NUMBER	
SUITE 4700			3682			
DENVER, CO	80202-564	/		DATE MAILED: 04/17/2003		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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DORSEY & W		LUONG, VINH			
	L PROPERTY DEPART	rment	ART UNIT	PAPER NUMBER	
370 SEVENTEE	ENTH STREET				
SUITE 4700	0202 5647		3682		
DENVER, CO 80202-5647 UNITED STATES			DATE MAILED: 04/17/2003		
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# Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



Application No.

09/441,628

Luong

Applicant(s)

**PETRAK** 

# Notice of Allowability

Examiner

Art Unit 3682

The MAILING DATE of this communication appears on the co	ver sheet with the correspondence address
All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. The initiative of the Office or upon petition by the applicant. See 37 CFR 1.	e communication will be mailed in due course.  This application is subject to withdrawal from issue at
1. X This communication is responsive to 3/24/03	·
2. X The allowed claim(s) is/are 1, 2, and 22-83	
3.   The drawings filed on are accepted by the	e Examiner.
4. Acknowledgement is made of a claim for foreign priority under 3	35 U.S.C. § 119(a)-(d).
a) $\square$ All b) $\square$ Some* c) $\square$ None of the:	
1.   Certified copies of the priority documents have been received.	ved.
2.   Certified copies of the priority documents have been received.	ved in Application No
3. Copies of the certified copies of the priority documents has application from the International Bureau (PCT Rule 17.	2(a)).
*Certified copies not received:	
5. Acknowledgement is made of a claim for domestic priority under	r 35 U.S.C. § 119(e) (to a provisional application).
(a) $\square$ The translation of the foreign language provisional application	n has been received.
6. $\square$ Acknowledgement is made of a claim for domestic priority under	r 35 U.S.C. §§ 120 and/or 121.
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communoted below. Failure to timely comply will result in ABANDONMENT of this EXTENDABLE.	nication to file a reply complying with the requirements application. THIS THREE-MONTH PERIOD IS NOT
7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) versions and the submitted of the s	
8. X CORRECTED DRAWINGS must be submitted.	
(a) including changes required by the Notice of Draftsperson's Pa	atent Drawing Review (PTO-948) attached
1) hereto or 2) to Paper No	
(b)  including changes required by the proposed drawing correction approved by the examiner.	
(c) $\square$ including changes required by the attached Examiner's Amen Paper No	idment/Comment or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should each sheet. The drawings should be filed as a separate paper with a transmitte	be written on the drawings in the top margin (not the back) of al letter addressed to the Official Draftsperson.
9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOG attached Examiner's comment regarding REQUIREMENT FOR THI	ICAL MATERIAL must be submitted. Note the E DEPOSIT OF BIOLOGICAL MATERIAL.
Attachment(s)	
1 Notice of References Cited (PTO-892)	2 Notice of Informal Patent Application (PTO-152)
3 Notice of Draftsperson's Patent Drawing Review (PTO-948)	4 Interview Summary (PTO-413), Paper No
5 Information Disclosure Statement(s) (PTO-1449), Paper No(s).	6 ☐ Examiner's Amendment/Comment  8 ☐ Examiner's Statement of Reasons for Allowance
7 Examiner's Comment Regarding Requirement for Deposit of Biological Material	han h
9 Other	LUONG
	LUONG PRIMARY EXAMINER ART UNIT 3682